PTO/SB/05 (03-01)

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

03797.00249 Attorney Docket No. First Inventor Tetsuo Seto Title Pen out-of-proximity handwriting-recognition trigger

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Express Mail Label No. | EL 950971025 US

APPLICATION ELEMENTS	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application								
See MPEP chapter 600 concerning utility patent application contents.	Washington, DC 20231								
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  2. Applicant claims small entity status. See 37 CFR 1.27.  3. Specification [Total Pages 22 ] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	Washington, DC 20231  7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a.  Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i.  CD-ROM or CD-R (2 copies); or  ii.  paper  c.  Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9.  Assignment Papers (cover sheet & document(s))  10.  37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney  11.  English Translation Document (if applicable)								
4. Drawing(s) (35 U.S.C.113) [Total Sheets 6 ]  5. Oath or Declaration [Total Pages 2 ]  a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63 (d))  (for a continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)  named in the prior application, see 37 CFR  1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-par Prior application information: Examiner  For CONTINUIATION or DIVISIONAL APPS only: The entire disclosure under Box 5b, is considered a part of the disclosure of the accompany The Incorporation can only be relied upon when a portion has been in	12. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations  13. ☐ Preliminary Amendment  14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. ☒ Other: Express Mail Certificate   If the requisite information below and in a preliminary amendment,  (CIP) of prior application No: ☐ / ☐ Group / Art Unit: ☐ ☐ Group / Art Unit: ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐								
	The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  17. CORRESPONDENCE ADDRESS								
Address									
City State	Zip Code								
Country Telephone	Fax								
Name (Print/Type) William J. Klein	Registration No. (Attorney/Agent) 43,719								
Signature Date February 8, 2002									

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

		Complete if Known	
FEE TRANSMITTAL	Application Number	Unassigned	
for FY 2002	Filing Date	Unassigned	
	First Named Inventor	Tetsuo Seto	
Patent fees are subject to annual revision.	Examiner Name	Unassigned	
	Group / Art Unit	Unassigned	

TOTAL AMOU	NT OF PAYMEN	IT (\$)	(\$) 1028 Attorney Docket No.			t No.	03797.00249			
METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)					
	edit card	ney 🗌 Othe			3. ADD	Large Entity Fee (\$)	FEES Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account 19-0733 Number					105 127	130 50	205 227	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet.	
				139	130	139	130	Non-English specification		
Deposit			147	2,520	147	2,520	For filing a request for reexamination			
Account Banner & Witcoff, Ltd Name					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
The Commissioner is authorized to: (check all that apply)  ⊠ Charge fee(s) indicated below ⊠ Credit any overpayments					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge any add	litional fee(s) durin	g the pendence	cy of this app	olication	115	110	215	55	Extension for reply within first month	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					116	400	216	200	Extension for reply within second month	
	FEE CALC	ULATION			117	920	217	460	Extension for reply within third month	
BASIC FILI Large Entity S					118	1,440	218	720	Extension for reply within fourth month	
	•	Description			128	1,960	228	980	Extension for reply within fifth month	
	ode (\$)	•		Paid	119	320	219	160	Notice of Appeal	
101 740 2	01 370 Utili	ity filing fee	740	)	120	320	220	160	Filing a brief in support of an appeal	
106 330 2	06 165 Des	sign filing fee			121	280	221	140	Request for oral hearing	
		nt filing fee issue filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
		visional filling f	ee -		140	110	240	55	Petition to revive - unavoidable	
				141	1,280	241	640	Petition to revive – unintentional		
SUBTOTAL (1) (\$) 740			740	142	1,280	242	640	Utility issue fee (or reissue)		
a EVEDA OLADA	FEEE				143	460	243	230	Design issue fee	
2. EXTRA CLAIM		xtra Fe	e from	Fee	144	620	244	310	Plant issue fee	
			low	Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims 22	-20 ** = 2	X _1	=	36	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims 6	-3** = 3	χ	34 =	252	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		× [	=	0	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee	Small Entity Fee Fee	Fee Description	on		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) 103 18	Code (\$)	Claims in exce			149	740	249	370	For each additional invention to be examined (37 CFR § 1 129(b))	
102 84		Independent cl			179	740	279	370	Request for Continued Examination (RCE)	
104 280		Multiple depen								
109 84	209 42	original patent	•							
110 18		** Reissue clai over original p		of 20 and						
	SUB	TOTAL (2)	(\$) 288		Other	fee (speci	ify)	_		
**or number prev	viously paid, if grea	ater; For Reiss	ues, see abo	ove	*Red	uced by B	asic Fili	ng Fee F	raid SUBTOTAL (3) (\$) 0	

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	William J. Klein	Registration No. Attorney/Agent)	43,719	Telephone	312-715-1000		
Signature	W:- A9	G.		Date	2/8/02		

WARNING: Internation on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## CERTIFICATE OF EXPRESS MAIL (PATENT)

Express Mail No. EL 950971025 US Deposited February 8, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under §37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington DC 20231.

By: April Caballe

Seto et al. U.S. Patent Application for "Pen out-of-proximity handwriting-recognition trigger"

- Transmittal Form (one page)
- Fee Transmittal (one page)
- Application Data Sheet (three pages)
- Patent Application (twenty-two pages)
- Declaration and Power of Attorney (two pages)
- Six Sheets of Drawings
- Return Receipt Postcard

Attorney Docket No. 03797.00249